

# $C$ -differentials, multiplicative uniformity and (almost) perfect $c$ -nonlinearity

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**Abstract**—In this paper we define a new (output) multiplicative differential, and the corresponding  $c$ -differential uniformity. With this new concept, even for characteristic 2, there are perfect  $c$ -nonlinear (PcN) functions. We first characterize the  $c$ -differential uniformity of a function in terms of its Walsh transform. We further look at some of the known perfect nonlinear (PN) functions and show that only one remains a PcN function, under a different condition on the parameters. In fact, the  $p$ -ary Gold PN function increases its  $c$ -differential uniformity significantly, under some conditions on the parameters. We then precisely characterize the  $c$ -differential uniformity of the inverse function (in any dimension and characteristic), relevant for the Rijndael (and Advanced Encryption Standard) block cipher.

**Index Terms**—Boolean,  $p$ -ary functions,  $c$ -differentials, Walsh transform, differential uniformity, perfect and almost perfect  $c$ -nonlinearity

## I. INTRODUCTION AND MOTIVATION

In [3], the authors used a new type of differential that is quite useful from a practical perspective for ciphers that utilize modular multiplication as a primitive operation. It is an extension of a type of differential cryptanalysis and it was used to cryptanalyse some existing ciphers (like a variant of the well-known IDEA cipher). The authors argue that one should look (and some authors did) at other types of differentials for a Boolean (vectorial) function  $F$ , not only the usual  $(F(x+a), F(x))$ . In [3], the differential used in their attack was  $(F(cx), F(x))$ . Drawing inspiration from the mentioned successful attempt, we therefore here start a theoretical analysis of an (output) multiplicative differential. We first connect the differential uniformity (under this new concept) to the Walsh coefficients. We next investigate some of the known perfect nonlinear  $p$ -ary functions and show that with one exception (under a different condition on the parameters, though) they do not remain perfect nonlinear under the new

concept. We also look at the Rijndael inverse function and its  $c$ -differential uniformity (for example, we show that in some instances the uniformity drops to 3).

The objects of this study are Boolean and  $p$ -ary functions (where  $p$  is an odd prime) and some of their differential properties. We will introduce here only some needed notation, and the reader can consult [4], [5], [6], [12], [15], [18] for more on Boolean and  $p$ -ary functions.

Let  $n$  be a positive integer and  $\mathbb{F}_{p^n}$  denote the finite field with  $p^n$  elements, and  $\mathbb{F}_{p^n}^* = \mathbb{F}_{p^n} \setminus \{0\}$  (for  $a \neq 0$ , we often write  $\frac{1}{a}$  to mean the inverse of  $a$  in the considered finite field). Further, let  $\mathbb{F}_p^m$  denote the  $m$ -dimensional vector space over  $\mathbb{F}_p$ . We call a function from  $\mathbb{F}_{p^n}$  to  $\mathbb{F}_p$  a  $p$ -ary Boolean function on  $n$  variables. The cardinality of a set  $S$  is denoted by  $\#S$ . For  $f : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_p$  we define the Walsh-Hadamard transform to be the integer-valued function  $\mathcal{W}_f(u) = \sum_{x \in \mathbb{F}_{p^n}} \zeta_p^{f(x) - \text{Tr}_n(ux)}$ ,  $u \in \mathbb{F}_{p^n}$ , where  $\zeta_p = e^{\frac{2\pi i}{p}}$  and  $\text{Tr}_n : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_p$  is the absolute trace function, given by  $\text{Tr}(x) = \sum_{i=0}^{n-1} x^{p^i}$ .

Given a  $p$ -ary Boolean function  $f$ , the derivative of  $f$  with respect to  $a \in \mathbb{F}_{p^n}$  is the Boolean function  $D_a f(x) = f(x+a) - f(x)$ , for all  $x \in \mathbb{F}_{p^n}$ .

For positive integers  $n$  and  $m$ , any map  $F : \mathbb{F}_p^n \rightarrow \mathbb{F}_p^m$  is called a vectorial  $p$ -ary Boolean function, or  $(n, m)$ -function. When  $m = n$ ,  $F$  can be uniquely represented as a univariate polynomial over  $\mathbb{F}_{p^n}$  (using the natural identification of the finite field with the vector space) of the form  $F(x) = \sum_{i=0}^{p^n-1} a_i x^i$ ,  $a_i \in \mathbb{F}_{p^n}$ . The algebraic degree of  $F$  is then the largest Hamming weight of the exponents  $i$  with  $a_i \neq 0$ . For an  $(n, m)$ -function  $F$  and  $a \in \mathbb{F}_{p^n}$ ,  $b \in \mathbb{F}_p^m$ , we define the Walsh transform  $\mathcal{W}_F(a, b)$  to be the Walsh-Hadamard transform of its component function  $\text{Tr}_m(bF(x))$  at  $a$ , that is,

$$\mathcal{W}_F(a, b) = \sum_{x \in \mathbb{F}_{p^n}} \zeta_p^{\text{Tr}_m(bF(x)) - \text{Tr}_n(ax)}.$$

For an  $(n, n)$ -function  $F$ , and  $a, b \in \mathbb{F}_{p^n}$ , we let  $\Delta_F(a, b) = \#\{x \in \mathbb{F}_{p^n} : F(x+a) - F(x) = b\}$ . We call the quantity  $\Delta_F = \max\{\Delta_F(a, b) : a, b \in \mathbb{F}_{p^n}, a \neq 0\}$  the differential uniformity of  $F$ . If  $\Delta_F \leq \delta$ , then we say that  $F$  is differentially  $\delta$ -uniform. If  $\delta = 1$ , then  $F$  is called a perfect nonlinear (PN) function, or planar function. If  $\delta = 2$ , then  $F$  is called an almost perfect nonlinear (APN) function. It is well known that PN functions do not exist if  $p = 2$ .

## II. $c$ -DIFFERENTIALS

It is natural to reflect about how the functions would respond, not only to the usual derivative, but to a more general

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derivative. Our proposal is inspired by a practical differential attack developed in [3].

**Definition 1.** Given a  $p$ -ary  $(n, m)$ -function  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^m}$ , and  $c \in \mathbb{F}_{p^m}$ , the (multiplicative)  $c$ -derivative of  $F$  with respect to  $a \in \mathbb{F}_{p^n}$  is the function

$${}_c D_a F(x) = F(x+a) - cF(x), \text{ for all } x \in \mathbb{F}_{p^n}.$$

(Note that, if  $c = 1$ , then we obtain the usual derivative, and, if  $c = 0$  or  $a = 0$ , then we obtain a shift of the function.)

For an  $(n, n)$ -function  $F$ , and  $a, b \in \mathbb{F}_{p^n}$ , we let  ${}_c \Delta_F(a, b) = \#\{x \in \mathbb{F}_{p^n} : F(x+a) - cF(x) = b\}$ . In the following, we call the quantity  ${}_c \Delta_F = \max\{{}_c \Delta_F(a, b) : a, b \in \mathbb{F}_{p^n}, \text{ and } a \neq 0 \text{ if } c = 1\}$ <sup>1</sup> the  $c$ -differential uniformity of  $F$ . If  ${}_c \Delta_F = \delta$ , then we say that  $F$  is differentially  $(c, \delta)$ -uniform. If  $\delta = 1$ , then  $F$  is called a *perfect  $c$ -nonlinear (PcN) function* (certainly, for  $c = 1$ , they only exist for odd characteristic  $p$ ; however, one wonders whether they can exist for  $p = 2$  for  $c \neq 1$ , and we shall argue later that this is actually true). If  $\delta = 2$ , then  $F$  is called an *almost perfect  $c$ -nonlinear (APcN) function*. It is easy to see that if  $F$  is an  $(n, n)$ -function, that is,  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$ , then  $F$  is PcN if and only if  ${}_c D_a F$  is a permutation polynomial.

Furthermore, any nonconstant affine function is PcN for any  $c \neq 1$ . Let  $F(x) = Ax + B, 0 \neq A, B \in \mathbb{F}_{p^n}$ , and let  $c \neq 1$ . Then  ${}_c D_a F(x) = \alpha$  (for some  $\alpha$ ) is equivalent to  $A(x+a) + B - Acx - cB = \alpha$ , that is,  $A(1-c)x = \alpha + (c-1)B - Aa$ , which has a unique solution. By a similar argument, the function  $F(x) = Ax^{p^k} + B, 0 \neq A, B \in \mathbb{F}_{p^n}$  is PcN for any  $c \neq 1$ . We shall call these *trivial PcN functions*.

The solutions to the differential equation  ${}_c D_a F(x) = b$  for various  $c, b$  are not independent as the next proposition shows.

**Proposition 2.** Let  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^m}$ ,  $b_1, b_2 \in \mathbb{F}_{p^m}$ , and  $c_1 \neq c_2 \in \mathbb{F}_{p^m}^*$ . If  $x_0$  is a solution for  ${}_{c_1} D_a F(x) = b_1$ , then  $x_0$  is also a solution for  ${}_{c_2} D_a F(x) = b_2$  if and only if  $F(x_0) = \frac{b_1 - b_2}{c_2 - c_1}$ .

*Proof.* Assume that

$${}_{c_1} D_a F(x_0) = b_1 \text{ and } {}_{c_2} D_a F(x_0) = b_2.$$

Then

$$\begin{aligned} b_1 &= F(x_0 + a) - c_1 F(x_0) \\ b_2 &= F(x_0 + a) - c_2 F(x_0), \end{aligned}$$

which, by subtracting, renders the claimed identity. The reciprocal is immediate.  $\square$

### III. CHARACTERIZING $c$ -DIFFERENTIAL UNIFORMITY VIA THE WALSH TRANSFORM

In this section, we shall be using a method of Carlet [7] (which generalized the classical result of Chabaud and Vaudenay [9]) connecting the differential uniformity of an  $(n, m)$ -function to its Walsh coefficients. Since there are some subtle

<sup>1</sup>Including  $a = 0$  for the case  $c \neq 1$ , the equation  $F(x) - cF(x) = b$  is of course,  $F(x) = b(1-c)^{-1}$ , so we are looking here at how close  $F$  is to a permutation polynomial, and similarly in the case  $c = 0$  for any  $a$ .

differences between the classical differential uniformity and our concept, we shall be proving (in any characteristic) the following result and some of its consequences, using the techniques of [7], [9]. Generalizing the usual convolution of two functions  $f, g$  in two variables over some cartesian product of fields  $\mathbb{F} \times \mathbb{K}$ , namely,  $(f \otimes g)(a, b) = \sum_{x \in \mathbb{F}, y \in \mathbb{K}} f(x, y)g(a + x, b + y)$ , we define a generalized convolution of the Walsh transforms (with a twist) of  $F$  by

$$\begin{aligned} & (\mathcal{W}_F \mathcal{W}_F^c)^{\otimes(j+1)}(0, 0) \\ &= \sum_{\substack{u_1, \dots, u_j \in \mathbb{F}_{p^n} \\ v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \left( \overline{\mathcal{W}_F} \left( \sum_{i=1}^j u_i, \sum_{i=1}^j v_i \right) \mathcal{W}_F \left( \sum_{i=1}^j u_i, c \sum_{i=1}^j v_i \right) \right. \\ & \quad \left. \cdot \prod_{i=1}^j \mathcal{W}_F(u_i, v_i) \overline{\mathcal{W}_F}(u_i, cv_i) \right) \end{aligned}$$

(observe that it is a convolution since the sum of the variables in each component is 0). We show the next theorem, which extends [7], [9] to odd characteristics, as well as to the  $c$ -differential context.

**Theorem 3.** Let  $c \in \mathbb{F}_{p^m}$  and  $n, m, \delta$  be fixed positive integers. Let  $F$  be an  $(n, m)$ -function, that is,  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^m}$ . Let  $\phi_\delta(x) = \sum_{j \geq 0} A_j x^j$  be a polynomial over  $\mathbb{R}$  such that  $\phi_\delta(x) = 0$  for  $x \in \mathbb{Z}, 1 \leq x \leq \delta$ , and  $\phi_\delta(x) > 0$ , for  $x \in \mathbb{Z}, x > \delta$ . We then have

$$p^{2n} A_0 + \sum_{j \geq 1} p^{-j(m+n)} A_j (\mathcal{W}_F \mathcal{W}_F^c)^{\otimes(j+1)}(0, 0) \geq 0,$$

with equality if and only if  $F$  is  $c$ -differentially  $\delta$ -uniform.

*Proof.* Let  $a \in \mathbb{F}_{p^n}, \gamma \in \mathbb{F}_{p^m}$  be arbitrary elements. Certainly, the set  $\{x \in \mathbb{F}_{p^n} \mid {}_c D_a F(x) = \gamma\}$  is empty if  $\gamma$  is not of the form  ${}_c D_a F(b)$ , for some  $b \in \mathbb{F}_{p^n}$ . Therefore, it is enough to consider only the cardinality  $n_F(a, b, c) = |\{x \in \mathbb{F}_{p^n} \mid {}_c D_a F(x) = {}_c D_a F(b)\}| > 0$ . From the imposed condition on the polynomial  $\phi_\delta$ , given  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^m}$ , for all  $a, b \in \mathbb{F}_{p^n}$ , then,

$$\sum_{j \geq 0} A_j (n_F(a, b, c))^j \geq 0,$$

with equality if and only if  ${}_c \Delta_F(a, b) \leq \delta$ . Consequently, running with all  $a, b \in \mathbb{F}_{p^n}$ , any  $(n, m)$ -function  $F$  satisfies

$$\sum_{j \geq 0} A_j \sum_{a, b \in \mathbb{F}_{p^n}} (n_F(a, b, c))^j \geq 0,$$

with equality if and only of  ${}_c \Delta_F \leq \delta$ .

Observe that

$$n_F(a, b, c) = p^{-m} \sum_{x \in \mathbb{F}_{p^n}, v \in \mathbb{F}_{p^m}} \zeta_p^{\text{Tr}_m(v(cD_a F(x) - cD_a F(b)))},$$

since

$$\sum_{v \in \mathbb{F}_{p^m}} \zeta_p^{\text{Tr}_m(v\alpha)} = \begin{cases} 0 & \text{if } \alpha \neq 0 \\ p^m & \text{if } \alpha = 0. \end{cases} \quad (1)$$

For a fixed  $j \geq 1$ , we then have

$$\begin{aligned}
 & \sum_{a,b \in \mathbb{F}_{p^n}} (n_F(a, b, c))^j \\
 &= p^{-jm} \sum_{a,b \in \mathbb{F}_{p^n}} \sum_{\substack{x_1, \dots, x_j \in \mathbb{F}_{p^n} \\ v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \zeta_p^{\sum_{i=1}^j \text{Tr}_m(v_i(cD_a F(x_i) - cD_a F(b)))} \\
 &= p^{-jm} \sum_{a,b \in \mathbb{F}_{p^n}} \sum_{\substack{x_1, \dots, x_j \in \mathbb{F}_{p^n} \\ v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \zeta_p^{\sum_{i=1}^j \text{Tr}_m(v_i(F(x_i+a) - cF(x_i) - F(b+a) + cF(b)))}.
 \end{aligned}$$

We need to insert some factors in the above expressions to make up the Walsh coefficients. By identity (1),  $\sum_{u_i \in \mathbb{F}_{p^n}} \zeta_p^{\text{Tr}_n(u_i(x_i+a-y_i))} = p^n$ , if  $y_i = x_i+a$  and 0, otherwise.

Similarly,  $\sum_{u_0 \in \mathbb{F}_{p^n}} \zeta_p^{\text{Tr}_n(u_0(d-a-b))} = p^n$ , if  $d = a+b$  and 0, otherwise. Therefore,

$$\begin{aligned}
 & \sum_{a,b \in \mathbb{F}_{p^n}} (n_F(a, b, c))^j = p^{-mj} p^{-(j+1)n} \sum_{a,b,d \in \mathbb{F}_{p^n}} \\
 & \quad \sum_{\substack{x_1, \dots, x_j, y_1, \dots, y_j \in \mathbb{F}_{p^n} \\ u_0, \dots, u_j \in \mathbb{F}_{p^n}, v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \zeta_p^{\sum_{i=1}^j [\text{Tr}_m(v_i(F(y_i) - F(d) - cF(x_i) + cF(b))) + \text{Tr}_n(u_i(x_i+a-y_i))] + \text{Tr}_n(u_0(d-a-b))} \\
 &= p^{-j(m+n)-n} \sum_{\substack{u_0, u_1, \dots, u_j \in \mathbb{F}_{p^n} \\ v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \overline{\mathcal{W}_F} \left( u_0, \sum_{i=1}^j v_i \right) \\
 & \quad \cdot \mathcal{W}_F \left( u_0, c \sum_{i=1}^j v_i \right) \prod_{i=1}^j (\mathcal{W}_F(u_i, v_i) \overline{\mathcal{W}_F}(u_i, cv_i)) \\
 & \quad \cdot \sum_{a \in \mathbb{F}_{p^n}} \zeta_p^{\text{Tr}_n(a(\sum_{i=1}^j u_i - u_0))} \\
 &= p^{-j(m+n)-n} p^n \sum_{\substack{u_1, \dots, u_j \in \mathbb{F}_{p^n} \\ v_1, \dots, v_j \in \mathbb{F}_{p^m}}} \overline{\mathcal{W}_F} \left( \sum_{i=1}^j u_i, \sum_{i=1}^j v_i \right) \\
 & \quad \cdot \mathcal{W}_F \left( \sum_{i=1}^j u_i, c \sum_{i=1}^j v_i \right) \prod_{i=1}^j (\mathcal{W}_F(u_i, v_i) \overline{\mathcal{W}_F}(u_i, cv_i)) \\
 &= p^{-j(m+n)} (\mathcal{W}_F \mathcal{W}_F^c)^{\otimes(j+1)}(0, 0).
 \end{aligned}$$

For  $j = 0$ ,  $\sum_{a,b \in \mathbb{F}_{p^n}} (n_F(a, b, c))^j = p^{2n}$  and the theorem follows.  $\square$

**Remark 4.** For a fixed  $\delta$ , an example of such a polynomial  $\phi_\delta$  satisfying the conditions of the above theorem is simply  $\phi_\delta(x) = (x-1)(x-2) \cdots (x-\delta)$ , which certainly satisfies the conditions  $\phi_\delta(x) = 0$ , for  $1 \leq x \leq \delta$ ,  $x \in \mathbb{Z}$  and  $\phi_\delta(x) > 0$ , for  $x > \delta$ ,  $x \in \mathbb{Z}$ .

While we can take other values, we will only consider below the particular cases of perfect and almost perfect  $c$ -nonlinear functions, as the results are quite nice.

A. The case  $\delta = 1$  – perfect  $c$ -nonlinear (PcN)

We can take the polynomial  $\phi_1(x) = x - 1$ , which certainly satisfies the conditions of Theorem 3. Thus  $A_0 = -1$ ,  $A_1 = 1$  and the relation of Theorem 3 simplifies to

$$-p^{2n} + p^{-(m+n)} \sum_{\substack{u \in \mathbb{F}_{p^n} \\ v \in \mathbb{F}_{p^m}}} |\mathcal{W}_F(u, v)|^2 |\mathcal{W}_F(u, cv)|^2 \geq 0.$$

Thus, we obtain the next result.

**Proposition 5.** Let  $m, n$  be fixed positive integers and  $c \in \mathbb{F}_{p^m}$ ,  $c \neq 1$ . Let  $F$  be an  $(n, m)$ -function. Then

$$\sum_{\substack{u \in \mathbb{F}_{p^n} \\ v \in \mathbb{F}_{p^m}}} |\mathcal{W}_F(u, v)|^2 |\mathcal{W}_F(u, cv)|^2 \geq p^{3n+m},$$

with equality if and only if  $F$  is a perfect  $c$ -nonlinear (PcN) function.

B. The case  $\delta = 2$  – almost perfect  $c$ -nonlinear (APcN)

We can take the polynomial  $\phi_2(x) = (x-1)(x-2) = x^2 - 3x + 2$ , which certainly satisfies the conditions of Theorem 3. Thus  $A_0 = 2$ ,  $A_1 = -3$ ,  $A_2 = 1$  and the relation of Theorem 3 simplifies to

$$\begin{aligned}
 & 2 \cdot p^{2n} - 3 \cdot p^{-(m+n)} \sum_{\substack{u \in \mathbb{F}_{p^n} \\ v \in \mathbb{F}_{p^m}}} |\mathcal{W}_F(u, v)|^2 |\mathcal{W}_F(u, cv)|^2 \\
 & + p^{-2(m+n)} \sum_{\substack{u_1, u_2 \in \mathbb{F}_{p^n} \\ v_1, v_2 \in \mathbb{F}_{p^m}}} \overline{\mathcal{W}_F}(u_1 + u_2, v_1 + v_2) \\
 & \quad \cdot \mathcal{W}_F(u_1 + u_2, c(v_1 + v_2)) \mathcal{W}_F(u_1, v_1) \\
 & \quad \cdot \mathcal{W}_F(u_2, v_2) \overline{\mathcal{W}_F}(u_1, cv_1) \overline{\mathcal{W}_F}(u_2, cv_2) \geq 0.
 \end{aligned}$$

We then have the following result.

**Proposition 6.** Let  $m, n$  be fixed positive integers and  $c \in \mathbb{F}_{p^m}$ ,  $c \neq 1$ . Let  $F$  be an  $(n, m)$ -function. Then

$$\begin{aligned}
 & \sum_{\substack{u_1, u_2 \in \mathbb{F}_{p^n} \\ v_1, v_2 \in \mathbb{F}_{p^m}}} \overline{\mathcal{W}_F}(u_1 + u_2, v_1 + v_2) \mathcal{W}_F(u_1 + u_2, c(v_1 + v_2)) \\
 & \quad \cdot \overline{\mathcal{W}_F}(u_1, v_1) \overline{\mathcal{W}_F}(u_2, v_2) \mathcal{W}_F(u_1, cv_1) \mathcal{W}_F(u_2, cv_2) \\
 & \geq 3 \cdot p^{m+n} \sum_{\substack{u \in \mathbb{F}_{p^n} \\ v \in \mathbb{F}_{p^m}}} |\mathcal{W}_F(u, v)|^2 |\mathcal{W}_F(u, cv)|^2 - 2 \cdot p^{2(2n+m)},
 \end{aligned}$$

with equality if and only if  $F$  is an almost perfect  $c$ -nonlinear (APcN).

In the spirit of Berger et al. [1] and Carlet [8], we can also express the  $c$ -differential uniformity of an  $(n, m)$ -function  $F$  in terms of the Walsh transform of the  $c$ -derivative of  $F$ . We will omit the proof as it is similar to the one of the classical case.

**Theorem 7.** Let  $1 \neq c \in \mathbb{F}_{p^m}$ ,  $n, m, \delta$  be fixed positive integers, and let  $F$  be an  $(n, m)$ -function. Let  $\phi_\delta(x) = \sum_{j \geq 0} A_j x^j$  be a polynomial over  $\mathbb{R}$  such that  $\phi_\delta(x) = 0$

for  $x \in \mathbb{Z}, 1 \leq x \leq \delta$ , and  $\phi_\delta(x) > 0$ , for  $x \in \mathbb{Z}, x > \delta$ . We then have

$$p^n A_0 + \sum_{j \geq 1} p^{-jm} A_j \sum_{v_1, \dots, v_j \in \mathbb{F}_{p^m}} \mathcal{W}_{cD_a F} \left( 0, \sum_{i=1}^j v_i \right) \cdot \prod_{i=1}^j \mathcal{W}_{cD_a F}(0, v_i) \geq 0,$$

with equality if and only if  $F$  is  $c$ -differentially  $\delta$ -uniform.

#### IV. $c$ -DIFFERENTIAL UNIFORMITY FOR SOME KNOWN PN CLASSES

If  $c = 1$ , the following are some of the known classes of PN [11], [13] (recall that they exist if and only if  $p$  is odd).

**Theorem 8.** *The following functions :  $\mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$  are perfect nonlinear:*

- (1)  $F(x) = x^2$  on  $\mathbb{F}_{p^n}$ .
- (2)  $F(x) = x^{p^k+1}$  on  $\mathbb{F}_{p^n}$  is PN if and only if  $\frac{n}{\gcd(k,n)}$  is odd.
- (3)  $F(x) = x^{10} \pm x^6 - x^2$  is PN over  $\mathbb{F}_{3^n}$  if and only if  $n = 2$  or  $n$  is odd. In general, for  $u \in \mathbb{F}_{3^n}$ ,  $F(x) = x^{10} - ux^6 - u^2x^2$  is PN over  $\mathbb{F}_{3^n}$  if  $n$  is odd.
- (4)  $F(x) = x^{(3^k+1)/2}$  is PN over  $\mathbb{F}_{3^n}$  if and only if  $\gcd(k,n) = 1$  and  $n$  is odd.

It is not surprising that the above monomials are the only known planar (PN) functions since it was shown by Rónyai and Szönyi [17] that all planar functions are quadratic and by Zieve [19] that if the degree  $n$  of  $\mathbb{F}_{p^n}$  is large enough, namely,  $p^n \geq (k-1)^4$ , then the only PN monomials  $x^k$  are in the list above. It is conjectured that these are the only PN power functions.

Below we will investigate the same functions regarding their  $PcN$  property for  $c \neq 1$ . We first start with a lemma, which is possibly known (but we could not find an appropriate reference).

**Lemma 9.** *Let  $p, k, n$  be integers greater than or equal to 1 (we take  $k \leq n$ , though the result can be shown in general). Then*

$$\begin{aligned} \gcd(2^k + 1, 2^n - 1) &= \frac{2^{\gcd(2k,n)} - 1}{2^{\gcd(k,n)} - 1}, \text{ and if } p > 2, \text{ then,} \\ \gcd(p^k + 1, p^n - 1) &= 2, \text{ if } \frac{n}{\gcd(n,k)} \text{ is odd,} \\ \gcd(p^k + 1, p^n - 1) &= p^{\gcd(k,n)} + 1, \text{ if } \frac{n}{\gcd(n,k)} \text{ is even.} \end{aligned}$$

Consequently, if either  $n$  is odd, or  $n \equiv 2 \pmod{4}$  and  $k$  is even, then  $\gcd(2^k+1, 2^n-1) = 1$  and  $\gcd(p^k+1, p^n-1) = 2$ , if  $p > 2$ .

*Proof.* We shall use below the well-known identity

$$\gcd(p^r - 1, p^n - 1) = p^{\gcd(r,n)} - 1.$$

Using the above identity, we first write  $\gcd(p^{2k} - 1, p^n - 1) = p^{\gcd(2k,n)} - 1$ , and since  $\gcd(p^k - 1, p^k + 1) = 2$ , unless  $p = 2$ , in which case  $\gcd(2^k - 1, 2^k + 1) = 1$ , then we get

$$\begin{aligned} \gcd(2^{2k} - 1, 2^n - 1) &= \gcd(2^k - 1, 2^n - 1) \cdot \gcd(2^k + 1, 2^n - 1) \\ &= \left( 2^{\gcd(k,n)} - 1 \right) \gcd(2^k + 1, 2^n - 1), \end{aligned}$$

and the first claim is shown.

We now assume that  $p > 2$ . If  $k = 1$ , we observe that

$$\gcd(p+1, p^n-1) = \gcd(p+1, p^n-1+p+1) = \gcd(p+1, p^{n-1}+1).$$

If  $n$  is even, then  $n-1 = 2r+1$  (for some  $r$ ) is odd and using the decomposition  $p^{2r+1}+1 = (p+1)(p^{2r}+p^{2r-1}+\dots+p+1)$ , we see that  $\gcd(p+1, p^{n-1}+1) = p+1$ . If  $n$  is odd, then  $n-1 = 2r$  (for some  $r$ ) is even and consequently we continue the displayed reduction and arrive at  $\gcd(p+1, p^n-1) = \gcd(p+1, p^{2r}+1) = \gcd(p+1, p^{2r-2}+1) = \dots = \gcd(p+1, p^0+1) = 2$ .

We next let  $k \geq 2$ , next. Let  $d = \gcd(n, k)$  and  $n = dm, k = d\ell$ , with  $\gcd(m, \ell) = 1$ . First observe that

$$\begin{aligned} \gcd(p^{d\ell} + 1, p^{dm} - 1) &= \gcd(p^{d\ell} + 1, p^{dm} + p^{d\ell}) \\ &= \gcd(p^{d\ell} + 1, p^{d(m-\ell)} + 1) \\ &= \gcd(p^{d\ell} + 1, p^{d(m-\ell)} - p^{d\ell}) \\ &= \begin{cases} \gcd(p^{d\ell} + 1, p^{d(m-2\ell)} - 1) & \text{if } m \geq 2\ell \\ \gcd(p^{d\ell} + 1, p^{d(2\ell-m)} - 1) & \text{if } m < 2\ell. \end{cases} \end{aligned} \quad (2)$$

In both cases, we see that  $|m - 2\ell| < m$ . We continue the process and apply Fermat's descent method below. We consider two cases.

*Case 1 :  $m$  is odd.* Since  $m$  is odd, the process above arrives at

$$\gcd(p^{d\ell} + 1, p^{dm} - 1) = \gcd(p^{d\ell} + 1, p^d - 1).$$

Now, we switch sides and concentrate on the first expression. We obtain

$$\begin{aligned} \gcd(p^d - 1, p^{d\ell} + 1) &= \gcd(p^d - 1, p^{d\ell} + 1 - p^{d(\ell-1)}(p^d - 1)) \\ &= \gcd(p^d - 1, p^{d(\ell-1)} + 1) = \dots \\ &= \gcd(p^d - 1, p^d + 1) = 2. \end{aligned}$$

*Case 2 :  $m$  is even.* Then the reduction from (2) arrives at (recall that now,  $\ell$  is odd)

$$\begin{aligned} \gcd(p^{d\ell} + 1, p^{dm} - 1) &= \gcd(p^{d\ell} + 1, p^{2d} - 1) \\ &= \gcd(p^{2d} - 1, p^{d\ell} + p^{2d}) \\ &= \gcd(p^{2d} - 1, p^{d(\ell-2)} + 1) = \dots \\ &= \gcd(p^{2d} - 1, p^d + 1) = p^d + 1. \end{aligned} \quad (3)$$

Lastly, if  $n$  is odd and  $k$  arbitrary, then  $\gcd(2k, n) = \gcd(k, n)$ , and so identity (3) becomes  $(2^{\gcd(k,n)} - 1) \gcd(2^k + 1, 2^n - 1) = 2^{\gcd(2k,n)} - 1 = 2^{\gcd(k,n)} - 1$ , rendering  $\gcd(2^k + 1, 2^n - 1) = 1$ . If  $n \equiv 2 \pmod{4}$  and  $k$  is even, say  $n = 4t + 2$ , and  $k = 2\ell$  for some

$t, \ell$ , then  $\gcd(2k, n) = \gcd(4\ell, 4t + 2) = 2 \gcd(\ell, 2t + 1) = \gcd(2\ell, 4t + 2) = \gcd(k, n)$ , and identity (3) implies  $\gcd(2^k + 1, 2^n - 1) = 1$ . A similar analysis works for  $p > 2$ , as well.  $\square$

It is easy to see that if  $F(x) = x^n$ , then  ${}_c\Delta_F(a, b) = {}_c\Delta_F(1, b/a^n)$ , so we shall be using this often below.

**Theorem 10.** *Let  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$  be the monomial  $F(x) = x^d$ , and  $c \neq 1$  be fixed. The following statements hold:*

- (i) *If  $d = 2$ , then  $F$  is APcN, for all  $c \neq 1$ .*
- (ii) *If  $d = p^k + 1$ ,  $p > 2$ , then  $F$  is not PcN, for all  $c \neq 1$ . Moreover, when  $(1 - c)^{p^k - 1} = 1$  and  $n/\gcd(n, k)$  is even, the  $c$ -differential uniformity  ${}_c\Delta_F \geq p^g + 1$ , where  $g = \gcd(n, k)$ .*
- (iii) *Let  $p = 3$ . If  $d = \frac{3^k + 1}{2}$ , then  $F$  is PcN, for  $c = -1$  if and only if  $\frac{2n}{\gcd(2n, k)}$  is odd.*
- (iv) *If  $p = 3$  and  $F(x) = x^{10} - ux^6 - u^2x^2$ , the  $c$ -differential uniformity of  $F$  is  ${}_c\Delta_F \geq 2$ , for  $c \neq 1$ .*

*Proof.* We first take  $d = 2$  and consider the equation  $cD_aF(x) = b$ . Thus,

$$b = F(x + a) - cF(x) = (1 - c)x^2 + 2ax + a^2,$$

and since we have the choice of  $b$  (for example,  $b = 0$ ), the above equation has two solutions if and only if  $c \neq 1$ , and therefore it is APcN.

We now consider  $d = p^k + 1$ . The equation  $cD_aF(x) = b$  becomes

$$\begin{aligned} b &= (x + a)^{p^k + 1} - cx^{p^k + 1} \\ &= x^{p^k + 1} + a^{p^k + 1} + ax^{p^k} + a^{p^k}x - cx^{p^k + 1} \\ &= (1 - c)x^{p^k + 1} + ax^{p^k} + a^{p^k}x + a^{p^k + 1}. \end{aligned}$$

Assuming  $c \neq 1$ , and choosing  $b = -\frac{ca^{p^k + 1}}{1 - c}$ , the equation transforms into

$$((1 - c)x + a)x^{p^k} + \frac{a^{p^k}}{1 - c}((1 - c)x + a) = 0,$$

which is equivalent to

$$\begin{aligned} 0 &= ((1 - c)x + a) \left( x^{p^k} + \frac{a^{p^k}}{1 - c} \right) \\ &= ((1 - c)x + a) \left( x + \frac{a}{d} \right)^{p^k}, \end{aligned}$$

where  $r$  is one of the  $p^k$ -roots of  $1 - c \neq 0$ .

If  $1 - c = r^{p^k} \neq r$  (which always happens if  $\gcd(k, n) = 1$ ) there are at least two roots of the equation  $cD_aF(x) = b$  and consequently,  $F$  is not PcN.

If  $1 - c = r^{p^k} = d$  (equivalently, for  $c \neq 1$ ,  $(1 - c)^{p^k - 1} = 1$ ), then we write the equation  $cD_aF(x) = b$ , for some  $a \neq 0$ , as (we first multiply it by  $d/a^{p^k + 1}$ )

$$(rx/a)^{p^k + 1} + (rx/a)^{p^k} + rx/a + r(1 - b/a^{p^k + 1}) = 0,$$

and relabeling  $y = rx/a$ ,  $b_1 = r(1 - b/a^{p^k + 1})$ , we then get

$$y^{p^k + 1} + y^{p^k} + y + b_1 = 0. \quad (4)$$

If  $b_1 = 0$ , then the equation becomes  $y^{p^k + 1} + y^{p^k} + y = y(y^{p^k} + y^{p^k - 1} + 1) = 0$  with the obvious solution  $y = 0$ .

We next consider the roots of the second equation, that is,  $y^{p^k} + y^{p^k - 1} + 1 = 0$ , or equivalently (with  $z = 1/y$ ),  $z^{p^k} + z + 1 = 0$ . In [14] it is shown that a trinomial  $z^{p^k} - az - b$  in  $\mathbb{F}_{p^n}$  has either zero, one, or  $p^g$  roots, where  $g = \gcd(n, k)$ .

We can perhaps approach this equation directly, but we can be more precise and use the method of [10], which fixed some errors of [14] and made its results more accurate. We will recall what was shown in [10]. Let  $f(z) = z^{p^k} - az - b$  in  $\mathbb{F}_{p^n}$ ,  $g = \gcd(n, k)$ ,  $m = n/\gcd(n, k)$  and  $\text{Tr}_g$  be the relative trace from  $\mathbb{F}_{p^n}$  to  $\mathbb{F}_{p^g}$ . For  $0 \leq i \leq m - 1$ , we define  $t_i = \sum_{j=i}^{m-2} p^{n(j+1)}$ ,  $\alpha_0 = a, \beta_0 = b$ . If  $m > 1$  (note that, if  $m = 1$ , then  $k = n$ , so  $F(x) = x^2$ , treated in case (i)), then, for  $1 \leq r \leq m - 1$ , we set

$$\alpha_r = a^{1+p^k+\dots+p^{kr}} \quad \text{and} \quad \beta_r = \sum_{i=0}^r a^{s_i} b^{p^{ki}},$$

where  $s_i = \sum_{j=i}^{r-1} p^{k(j+1)}$ , for  $0 \leq i \leq r - 1$  and  $s_r = 0$ . The trinomial  $f$  has no roots in  $\mathbb{F}_{p^n}$  if and only if  $\alpha_{m-1} = 1$  and  $\beta_{m-1} \neq 0$ . If  $\alpha_{m-1} \neq 1$ , then it has a unique root, namely  $x = \beta_{m-1}/(1 - \alpha_{m-1})$ , and, if  $\alpha_{m-1} = 1, \beta_{m-1} = 0$ , it has  $p^g$  roots in  $\mathbb{F}_{p^n}$  given by  $x + \delta\tau$ , where  $\delta \in \mathbb{F}_{p^g}$ ,  $\tau$  is fixed in  $\mathbb{F}_{p^n}$  with  $\tau^{p^k - 1} = a$  (that is, a  $(p^k - 1)$ -root of  $a$ ), and, for any  $e \in \mathbb{F}_{p^n}^*$  with  $\text{Tr}_g(e) \neq 0$ , then

$x = \frac{1}{\text{Tr}_g(e)} \sum_{i=0}^{m-1} \left( \sum_{j=0}^i e^{p^{kj}} \right) a^{t_i} b^{p^{ki}}$ . We could easily simplify some of these parameters using the sum of the geometric sequence, namely

$$s_i = \frac{p^{k(r+1)} - p^{k(i+1)}}{p^k - 1}, \quad \alpha_r = a^{\frac{p^{k(r+1)} - 1}{p^k - 1}},$$

though, for our case, these closed forms will not be useful.

For our case,  $a = b = -1$  and we further compute the involved parameters, splitting the analysis in two cases. We denote by  $\sigma_t \equiv t \pmod{2}$  the parity of  $t$ , that is  $t \pmod{2} \in \{0, 1\}$ . First, recall that  $\alpha_0 = \beta_0 = -1$ .

*Case 1.*  $m = \frac{n}{\gcd(n, k)} = 2\ell + 1$ , for some  $\ell \in \mathbb{Z}$ . Let  $r = m - 1$ . Recall that  $s_{m-1} = 0$ , thus  $\sigma_{s_{m-1}} = 0$ . The parities of  $s_i$ ,  $0 \leq i \leq m - 2$ , are  $\sigma_{s_i} = (m - 2 - i + 1) \pmod{2} = \sigma_i$  (since  $m - 1$  is even). Further,

$$\alpha_{m-1} = (-1)^{1+p^k+\dots+p^{k(m-1)}} = (-1)^{\sigma_m} = -1,$$

$$\begin{aligned} \beta_{m-1} &= \sum_{i=0}^{m-1} (-1)^{s_i + p^{ki}} = \sum_{i=0}^{m-2} (-1)^{s_i + p^{ki}} + (-1)^{s_{m-1} + p^{k(m-1)}} \\ &= -1 + \sum_{i=0}^{m-2} (-1)^{\sigma_{i+1}} = -1. \end{aligned}$$

Therefore, we conclude that the equation  $z^{p^k} + z + 1 = 0$  has a unique solution in  $\mathbb{F}_{p^n}$ . Thus, when  $\frac{n}{\gcd(n, k)}$ , then  $F(x) = x^{p^k + 1}$  is not PcN, for all  $c \neq 1$  with  $(1 - c)^{p^k - 1} = 1$ , and consequently, for all  $c \neq 1$ , given our previous argument.

*Case 2.*  $m = \frac{n}{\gcd(n, k)} = 2\ell$ , for some  $\ell \in \mathbb{Z}$ . Let  $r = m - 1$ . Recall that  $s_{m-1} = 0$ , thus  $\sigma_{s_{m-1}} = 0$ . The parities of  $s_i$ ,

$0 \leq i \leq m-2$ , are  $\sigma_{s_i} = (m-2-i+1) \pmod{2} = \sigma_{i+1}$  (since  $m-1$  is odd). Further,

$$\begin{aligned} \alpha_{m-1} &= (-1)^{1+p^k+\dots+p^{k(m-1)}} = (-1)^{\sigma_m} = 1, \\ \beta_{m-1} &= \sum_{i=0}^{m-1} (-1)^{s_i+p^{ki}} = \sum_{i=0}^{m-2} (-1)^{s_i+p^{ki}} + (-1)^{s_{m-1}+p^{k(m-1)}} \\ &= -1 + \sum_{i=0}^{m-2} (-1)^{\sigma_i} = 0. \end{aligned}$$

Therefore, we infer that the equation  $z^{p^k} + z + 1 = 0$  has  $p^g$  solutions in  $\mathbb{F}_{p^n}$ . Thus, the initial Equation (4) has  $p^g + 1$  solutions, and so, the  $c$ -differential uniformity in this case (under  $(1-c)^{p^k-1} = 1$  and  $\frac{n}{\gcd(n,k)}$  even) is at least  $p^g + 1$ , where  $g = \gcd(n, k)$ .

Let us treat now the case of  $d = (3^k+1)/2$  under  $c = -1$ , in  $\mathbb{F}_{3^n}$ . As used in [10], our function is  $PcN$  if and only if the  $c$ -derivative  $(x+1)^{\frac{3^k+1}{2}} - cx^{\frac{3^k+1}{2}}$  is a permutation polynomial if and only if  $h_c(x) = (x-1)^{\frac{3^k+1}{2}} - c(x+1)^{\frac{3^k+1}{2}}$  is a permutation polynomial. Since  $2|3^n-1$ , for all  $n$ , then we can always write  $x = y + y^{-1}$ , for some  $y \in \mathbb{F}_{3^n}$ . Our condition (for general  $c \neq 1$ ) becomes

$$\begin{aligned} h_c(x) &= (y + y^{-1} - 1)^{\frac{3^k+1}{2}} - c(y + y^{-1} + 1)^{\frac{3^k+1}{2}} \\ &= \frac{(y^2 - y + 1)^{\frac{3^k+1}{2}} - c(y^2 + y + 1)^{\frac{3^k+1}{2}}}{y^{\frac{3^k+1}{2}}} \\ &= \frac{(y+1)^{3^k+1} - c(y-1)^{3^k+1}}{y^{\frac{3^k+1}{2}}} \\ &= \frac{(1-c)y^{3^k+1} + (1+c)y^{3^k} + (1+c)y + (1-c)}{y^{\frac{3^k+1}{2}}} \\ &= (1-c)y^{\frac{3^k+1}{2}} + (1+c)y^{\frac{3^k-1}{2}} \\ &\quad + (1+c)y^{\frac{-3^k+1}{2}} + (1-c)y^{\frac{-3^k-1}{2}} \\ &= (1-c)T_{\frac{3^k+1}{2}}(y) + (1+c)T_{\frac{3^k-1}{2}}(y) \end{aligned}$$

is a permutation polynomial ( $T_\ell$  is the Chebyshev polynomial of the first kind). If  $c = -1$ , we obtain that  $T_{\frac{3^k+1}{2}}(y)$  must be a permutation polynomial, and this is equivalent (by [16]) to the condition that  $\gcd\left(\frac{3^k+1}{2}, 3^{2n}-1\right) = 1$ . This last identity can be further simplified to  $\gcd(3^k+1, 3^{2n}-1) = 2$ . By Lemma 9 a necessary and sufficient condition for that to happen is for  $\frac{2n}{\gcd(2n, k)}$  to be odd.

We now consider the function  $F(x) = x^{10} - ux^6 - u^2x^2$  over  $\mathbb{F}_{3^n}$ . The equation  ${}_cD_aF(x) = b$  becomes

$$\begin{aligned} (1-c)x^{10} + ax^9 + u(c-1)x^6 + ua^3x^3 + u^2(c-1)x^2 \\ + (a^9 + au^2)x + a^{10} - ua^6 - u^2a^2 - b = 0. \end{aligned}$$

Taking  $a = 0, b = (c-1)(u^2+u-1)$  the above equation will have solutions  $x = 1, 2$ , and so,  ${}_c\Delta_F \geq 2$  (surely, one can take even nonzero values of  $a$ , in many instances, if not all; for example, for  $c = 2$  and  $u = 1$ , we can take  $a = b = 2$ , rendering the solutions  $x = 0, 1$ ).  $\square$

n	Gold function, $k = 2$	Kasami function, $k = 2$
1	2	2
2	4	4
3	3	3
4	5	5
5	3	3
6	5	5
7	3	3
8	5	5

TABLE I: Gold and Kasami functions,  $k = 2$ .

### A. Some computational data

Table 1 shows the maximal  $c$ -differential uniformity (for  $a, c \neq 0$ ) for the Gold function  $F(x) = x^{2^k+1}$  and the Kasami function  $G(x) = x^{2^{2k}-2^k+1}$  over  $\mathbb{F}_{2^n}$ , for  $k = 2$ . Note that, for  $k = 1$ , these two functions are equal. The maximal  $c$ -differential uniformity (for  $a, c \neq 0$ ), taking  $k = 1$  for both functions, is equal to 2 for  $n \geq 2$ , and equal to 3 for  $n \geq 3$ , which can be argued theoretically. The cases  $n = 1, 2$  are straightforward. Let  $n \geq 3$ . The  $c$ -derivative of  $F(x) = x^3$  over  $\mathbb{F}_{2^n}$  is

$${}_cD_1F(x) = (1+c)x^3 + x^2 + x + 1.$$

Taking  $b = 1$ , the equation  ${}_cD_1F(x) = b$  is equivalent to  $x((1+c)x^2 + x + 1) = 0$ . This equation always has  $x = 0$  as a solution, while the quadratic equation has two solutions if and only if  $Tr(1+c) = 0$ . Taking  $c = \alpha^2 + \alpha + 1 \neq 0$  for  $n \geq 3$ , where  $\alpha$  is a primitive root of  $\mathbb{F}_{2^n}$ , we obtain three solutions to the equation  ${}_cD_aF(x) = b$ , and therefore the maximal  $c$ -differential uniformity (for  $c \neq 0$ ) for the Gold/Kasami function  $F(x) = x^3$  over  $\mathbb{F}_{2^n}$  is 3.

For  $k = 2$  and  $n \geq 3$ , the results for the Gold and Kasami functions (respectively,  $F(x) = x^5$  and  $G(x) = x^{13}$ ) show a maximal  $c$ -differential uniformity (over  $a, c \neq 0$ ) of 3 for  $n$  odd (i.e. for  $\gcd(n, k) = 1$ ), and of 5 for  $n$  even. Note that, by Theorem 10(ii), taking  $p = 2$  and  $n \equiv 0 \pmod{4}$ , the result is shown for the Gold function with  $k = 2$ , since, for these cases, the lower bound is 5, and, since the degree of the function is also 5, the  $c$ -differential uniformity must be exactly 5 for all  $c$  such that  $(1-c)^3 = 1$ . Hence, the maximal  $c$ -differential uniformity (for  $c \neq 0$ ) for the Gold function is 5, when  $k = 2$ . We simply double checked computationally (for small dimensions) our proof of Theorem 10(ii). It would be interesting to prove theoretically whether our observations hold also for other dimensions, and for  $x^{13}$ , or perhaps, for the general Kasami functions.

Table II shows the maximal  $c$ -differential uniformity for the functions  $F(x) = x^{10} \pm x^6 - x^2$  over  $\mathbb{F}_{3^n}, c \in \mathbb{F}_{3^n} \setminus \{0, 1\}$ . The tests indicate the following behaviour for both polynomials: The maximal  $c$ -differential uniformity (for  $c \neq 0, 1$ ) is 2 if  $n = 2, n+1$  for  $n = 1, 3, 5$  and 10 for  $n \geq 7, n$  odd. It would be nice to have a proof for the general case  $x^{10} - ux^6 - u^2x^2, u \in \mathbb{F}_{3^n}$  especially showing that the maximal  $c$ -differential uniformity is 10 for  $n \geq 7, u = \pm 1$  and to see the mathematical reason for jump from 6 to 10 in Table II.

$n$	$F(x) = x^{10} - x^6 - x^2$	$F(x) = x^{10} + x^6 - x^2$
1	2	2
2	2	2
3	4	4
5	6	6
7	10	10
9	10	10
11	10	10

 TABLE II:  $F(x) = x^{10} \pm x^6 - x^2$  over  $\mathbb{F}_{3^n}$ ,  $c \in \mathbb{F}_{3^n} \setminus \{0, 1\}$ .

## V. $c$ -DIFFERENTIAL UNIFORMITY FOR THE INVERSE FUNCTION

Since there has been quite a bit of effort to investigate the inverse function over  $\mathbb{F}_{2^n}$  as it is relevant in Rijndael and Advance Encryption Standard, it is natural to wonder how it behaves with respect to  $c$ -differential uniformity. We will need the following lemma (see [2], for the first part; the second part is probably known and easy to derive by completing the square in the given quadratic equation, that is, writing  $(2ax + b)^2 = a^2 - 4b$ ).

**Lemma 11.** *Let  $n$  be a positive integer. We have:*

- (i) *The equation  $x^2 + ax + b = 0$ , with  $a, b \in \mathbb{F}_{2^n}$ ,  $a \neq 0$ , has two solutions in  $\mathbb{F}_{2^n}$  if  $\text{Tr}\left(\frac{b}{a^2}\right) = 0$ , and zero solutions otherwise.*
- (ii) *The equation  $x^2 + ax + b = 0$ , with  $a, b \in \mathbb{F}_{p^n}$ ,  $p$  odd, has (two, respectively, one) solutions in  $\mathbb{F}_{p^n}$  if and only if the discriminant  $a^2 - 4b$  is a (nonzero, respectively, zero) square in  $\mathbb{F}_{p^n}$ .*

### A. The inverse function in even characteristic

We first treat the even characteristic.

**Theorem 12.** *Let  $n$  be a positive integer,  $1 \neq c \in \mathbb{F}_{2^n}$  and  $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$  be the inverse function defined by  $F(x) = x^{2^n-2}$ . We have:*

- (i) *If  $c = 0$ , then  $F$  is PcN (that is,  $F$  is a permutation polynomial).*
- (ii) *If  $c \neq 0$  and  $\text{Tr}_n(c) = \text{Tr}_n(1/c) = 1$ , the  $c$ -differential uniformity of  $F$  is 2 (and hence  $F$  is APcN).*
- (iii) *If  $c \neq 0$  and  $\text{Tr}_n(1/c) = 0$ , or  $\text{Tr}_n(c) = 0$ , the  $c$ -differential uniformity of  $F$  is 3.*

*Proof.* We start with the  $c$ -differential uniformity equation at  $a$ , namely,  $(x+a)^{2^n-2} + cx^{2^n-2} = b$ .

If  $c = 0$ , we have at most one solution for the  $c$ -differential equation, since then the above  $c$ -differential uniformity equation becomes  $(x+a)^{2^n-2} = b$ . If  $b = 0$ , then  $x = a$  is the only solution, otherwise, multiplying by  $x+a$ , we get  $b(x+a) = 1$ , which has also only one solution. The proof of (i) is done.

So, next, we may assume  $c \neq 0$ . If  $a = 0$ , the equation becomes  $(1+c)x^{2^n-2} = b$ . If  $b = 0$ , then  $x = 0$  is the only solution. If  $b \neq 0$  (so  $x \neq 0$ ), multiplying by  $x$ , the equation becomes  $1+c = bx$ , which has only one solution.

We next assume that  $c \neq 0, a \neq 0$ . Recall that, if  $F(x) = x^d$  and  $a \neq 0$ , then  ${}_c\Delta_F(a, b) = {}_c\Delta_F(1, b/a^d)$ , so we can look at the equation

$$(x+1)^{2^n-2} + cx^{2^n-2} = b. \quad (5)$$

If  $b = 0$ , we easily get only one solution, since the above equation is equivalent to  $c(x+1) = x$ , so we may assume below that  $b \neq 0$ .

*Case 1.* Let  $b = 1 \neq c$ . Then  $x = 0$  is a solution of (5). Assume now that  $x \neq 0, 1$  (certainly,  $x = 1$  is not a solution). Multiplying (5) by  $x(x+1)$  we get  $x + c(x+1) = x(x+1)$ , which is equivalent to  $x^2 + cx + c = 0$ . By Lemma 11(i), this equation has two solutions (we would have only one solution if  $c = 0$ ) if and only if  $\text{Tr}_n(1/c) = 0$ . Thus, altogether, we have three solutions for (5) under  $\text{Tr}_n(1/c) = 0$ . If  $\text{Tr}_n(1/c) = 1$ , then (5) has only the solution  $x = 0$ .

*Case 2.* Let  $b = c \neq 0, 1$ . Certainly,  $x = 1$  is a solution of (5), while  $x = 0$  is not a solution, so we assume now that  $x \neq 0, 1$ . Again, multiplying (5) by  $x(x+1)$  we get  $x + c(x+1) = cx(x+1)$ , which is equivalent to  $x^2 + c^{-1}x + 1 = 0$ , which has two solutions if and only if  $\text{Tr}_n(1/c^{-2}) = \text{Tr}_n(c^2) = \text{Tr}(c) = 0$ . Thus, altogether, we have three solutions for (5) under  $\text{Tr}_n(c) = 0$ .

*Case 3.* Let  $b \neq 1, c$  (so,  $x \neq 0, 1$ ). Multiplying (5) by  $x(x+1)$  we get  $x + c(x+1) = bx(x+1)$ , which has a unique solution if  $b = 0, c \neq 1$ ; otherwise, Equation (5) is equivalent to  $x^2 + \left(\frac{b+c+1}{b}\right)x + \frac{c}{b} = 0$ . If  $b = c+1$ , then we have a unique solution, otherwise we have two solutions if and only if  $\text{Tr}_n\left(\frac{bc}{b^2+c^2+1}\right) = 0$ . As we saw, it will be enough to consider the case of  $\text{Tr}_n(c) = \text{Tr}_n(1/c) = 1$ , since otherwise, we have three solutions for some  $b$ . Below, we argue that we always can find some  $b \neq 0$  for which this last trace,  $\text{Tr}_n\left(\frac{bc}{b^2+c^2+1}\right) = 0$ .

If  $n$  is odd, then we claim that there exists some value of  $u$  such that

$$\frac{uc}{u^2+c^2+1} = \frac{c+1}{c} = 1 + \frac{1}{c},$$

which follows from the fact that this last equation is equivalent to  $(c+1)u^2 + c^2u + (c+1)^2 = 0$ , that is,  $u^2 + \frac{c^2}{c+1}u + (c+1)^2 = 0$ , which, by Lemma 11(i), has solutions if and only if  $0 = \text{Tr}_n\left(\frac{(c+1)^2}{c^4/(c+1)^2}\right) = \text{Tr}_n\left(\frac{(c+1)^4}{c^4}\right) = \text{Tr}_n\left(\frac{c+1}{c}\right) = \text{Tr}_n\left(1 + \frac{1}{c}\right)$ . Since  $\text{Tr}_n(1/c) = 1$  and  $n$  is odd (thus,  $\text{Tr}_n(1) = 1$ ), then  $\text{Tr}_n\left(1 + \frac{1}{c}\right) = 0$ . Taking  $b = u$  such solution, then the condition  $\text{Tr}_n\left(\frac{bc}{b^2+c^2+1}\right) = 0$  will hold and consequently, we have two roots of the  $c$ -differential equation in this case.

We now let  $n$  be even and consider the equation  $\frac{uc}{u^2+c^2+1} = 1 + c + \frac{1}{c}$ , which is equivalent to  $u^2 + \frac{uc}{c^2+c+1}u + (c+1)^2 = 0$ . This last equation has solutions (by Lemma 11(i)) if and only if  $0 = \text{Tr}_n\left(\frac{(c+1)^2}{c^4/(c^2+c+1)^2}\right) = \text{Tr}_n\left(\frac{(c+1)}{c^2/(c^2+c+1)}\right) = \text{Tr}_n\left(\frac{(c+1)(c^2+c+1)}{c^2}\right) = \text{Tr}_n\left(\frac{c^3+1}{c^2}\right) = \text{Tr}_n(c) + \text{Tr}_n(1/c^2) = \text{Tr}_n(c) + \text{Tr}_n(1/c) = 0$ , which will certainly happen. By taking  $b$  to be equal to such a solution  $u$ , then,  $\text{Tr}_n\left(\frac{bc}{b^2+c^2+1}\right) = \text{Tr}_n\left(1 + c + 1/c\right) = 0$ , and consequently, the  $c$ -differential equation will have two solutions.  $\square$

### B. The inverse function in odd characteristic

We now treat the case of the inverse for odd characteristic. We use the notation  $[A]^2$  to denote the squares in any set  $A$ .

**Theorem 13.** *Let  $p$  be an odd prime,  $n \geq 1$  be a positive integer,  $1 \neq c \in \mathbb{F}_{p^n}$  and  $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$  be the inverse  $p$ -ary function defined by  $F(x) = x^{p^n-2}$ . We have:*

- (i) *If  $c = 0$ , then  $F$  is PcN (that is,  $F$  is a permutation polynomial).*
- (ii) *If  $c \neq 0, 4, 4^{-1}$ ,  $(c^2 - 4c) \in [\mathbb{F}_{p^n}]^2$ , or  $(1 - 4c) \in [\mathbb{F}_{p^n}]^2$ , the  $c$ -differential uniformity of  $F$  is 3.*
- (iii) *If  $c = 4, 4^{-1}$ , the  $c$ -differential uniformity of  $F$  is 2 (and hence  $F$  is APcN).*
- (iv) *If  $c \neq 0$ ,  $(c^2 - 4c) \notin [\mathbb{F}_{p^n}]^2$  and  $(1 - 4c) \notin [\mathbb{F}_{p^n}]^2$ , the  $c$ -differential uniformity of  $F$  is 2 (and hence  $F$  is APcN).*

*Proof.* The proof for (i) ( $c = 0$ , as well as  $a = 0$ ) is similar to the one for characteristic 2.

We next assume that  $c \neq 0$ . For  $a = 0$ , as in the case of even characteristic, the corresponding equation  $(x+a)^{p^n-2} - cx^{p^n-2} = b$  has one solution. We assume now that  $a \neq 0$ . As in characteristic 2, we only need to investigate the equation

$$(x+1)^{p^n-2} - cx^{p^n-2} = b. \quad (6)$$

If  $b = 0$ , we easily get only one solution, so we may assume below that  $b \neq 0$ .

*Case 1.* Let  $b = 1 \neq c$ . Then  $x = 0$  is a solution of (6). Assume now that  $x \neq 0$  (also,  $x \neq -1$ , since then,  $1 = b = c$ , which is impossible). Multiplying (6) by  $x(x+1)$  we get  $x - c(x+1) = x(x+1)$ , which is equivalent to  $x^2 + cx + c = 0$ . By Lemma 11(ii), this equation has solutions if and only if the discriminant  $D_1 = c^2 - 4c \in [\mathbb{F}_{p^n}]^2$  (that is,  $D_1$  is a square in  $\mathbb{F}_{p^n}$ ); we have two solutions if  $D_1 \neq 0$  and one solution if  $D_1 = 0$ . Thus, altogether, we have three solutions for (6) if  $0 \neq D_1 \in [\mathbb{F}_{p^n}]^2$ . If  $D_1 = 0$  (that is,  $c = 4$ ; we operate under  $c \neq 0$ ), then (6) has only the solutions  $x = -2$  and the prior  $x = 0$ .

*Case 2.* Let  $b = c \neq 0, 1$ . Now,  $x = -1$  is a solution of (6), so we next assume that  $x \neq -1$  (also,  $x \neq 0$ , since this is not a solution unless  $c = 1$ , which is impossible). Multiplying (6) by  $x(x+1)$  we get  $x - c(x+1) = cx(x+1)$ , which is equivalent to  $x^2 + (2-c^{-1})x + 1 = 0$ , which, by Lemma 11(ii) has two (respectively, one) solutions if and only if  $D_2 = (2-c^{-1})^2 - 4 = (1-4c)c^{-2} \in [\mathbb{F}_{p^n}]^2$  and  $D_2 \neq 0$  (respectively,  $D_2 = 0$ ). Thus, altogether, we have three solutions for (6) under  $0 \neq 1 - 4c \in [\mathbb{F}_{p^n}]^2$  and two solutions if  $c = 4^{-1}$ .

*Case 3.* Let  $c \neq b \neq 0, 1$  (so,  $x \neq 0, -1$ ). Multiplying (6) by  $x(x+1)$  we get  $x - c(x+1) = bx(x+1)$ , that is,  $x^2 + (\frac{b+c-1}{b})x + \frac{c}{b} = 0$ . If  $D_3 = (\frac{b+c-1}{b})^2 - 4\frac{c}{b} = 0$ , that is,  $(b+c-1)^2 = 4bc$ , then we have a unique solution, otherwise, we have two solutions if and only if  $0 \neq D_3 \in [\mathbb{F}_{p^n}]^2$ , that is,  $0 \neq (b+c-1)^2 - 4bc \in [\mathbb{F}_{p^n}]^2$ .

Below, we argue that we always can find some  $b \neq 0, 1, c$  for which  $(b+c-1)^2 - 4bc \in [\mathbb{F}_{p^n}]^2$ , except for  $c = -1$ ,  $p = 3$ ,  $n = 2$ , where, we can only find some values of  $b$  for which  $(b+c-1)^2 - 4bc = 0$ .

If  $c \neq -2, 2, 4$ , then we can take  $b = 2^{-1}(c-2)$  and consequently,  $b \neq 0, 1, c$ , and

$$(b+c-1)^2 - 4bc = 2^{-2}(c-4)^2 \neq 0.$$

If  $c = 2$ , or  $c = 4$ , and  $p \neq 3, 5$ , then, we can take  $b = 2(c+1)$ , and  $b \neq 0, 1, c$ , and

$$(b+c-1)^2 - 4bc = (1-c)^2 \neq 0.$$

Let  $c = 2$ , and  $p = 3$ . Then,  $(b+c-1)^2 - 4bc = b^2 + 1$ . If  $n > 2$ , then we can take  $b = \alpha - \alpha^{-1}$ , where  $\alpha$  is a primitive root of  $\mathbb{F}_{3^n}$  (we here avoid the primitive polynomials  $x^2 \pm x - 1 = 0$  over  $\mathbb{F}_3$ , since then,  $b \in \{-1, 1\}$ ). Consequently,  $b \neq 0, 1, -1$ , and

$$b^2 + 1 = (\alpha + \alpha^{-1})^2 \neq 0.$$

Equation (6) has then, in this case, two or fewer solutions. If  $n = 2$ , then, we write  $\mathbb{F}_{3^2} = \frac{\mathbb{F}_3[x]}{\langle x^2 - x - 1 \rangle} = \mathbb{F}_3(\alpha)$ , where  $\alpha$  is a root of the primitive polynomial  $x^2 - x - 1 = 0$ . Note that (recall Case 1)  $D_1 = 2^2 - 4 \cdot 2 = -4 = 2 = (\alpha + 1)^2 \in [\mathbb{F}_{3^n}]^2 \neq 0$ . Since, if  $b \neq 0, 1, c$ , Equation (6) cannot have more than two solutions, we conclude that the  $c$ -differential uniformity is 3.

Let  $c = 2$ , and  $p = 5$ . Then,  $C_1 = c^2 - 2c = -4 = 1 = 1^2 \in [\mathbb{F}_{5^n}]^2 \neq 0$ . Since, if  $b \neq 0, 1, c$ , Equation (6) cannot have more than two solutions, the  $c$ -differential uniformity is 3 in this case.

If  $c = 4$ , and  $p = 3$ , then  $c = 1$ , which is a contradiction with  $c \neq 1$ .

Let  $c = 4$  and  $p = 5$ . Then,  $(b+c-1)^2 - 4bc = b^2 + 4$ . If  $n > 2$ , then, as before, we can take  $b = \alpha - \alpha^{-1}$ , where  $\alpha$  is a primitive root of  $\mathbb{F}_{5^n}$ , and consequently,  $b \neq 0, 1, -1$ , and

$$(b-2)^2 + 4b = b^2 + 4 = (\alpha + \alpha^{-1})^2 \neq 0.$$

If  $n = 2$ , then, we write  $\mathbb{F}_{5^2} = \frac{\mathbb{F}_5[x]}{\langle x^2 - x + 2 \rangle} = \mathbb{F}_5(\alpha)$ , where  $\alpha$  is a root of the primitive polynomial  $x^2 - x + 2 = 0$ . Taking  $b = \alpha + 3 \neq 0, 1, -1$ , then (recall that  $\alpha^2 - \alpha + 2 = 0$ )

$$(b-2)^2 + 4b = (2\alpha + 2)^2 \neq 0.$$

Let now  $c = -2$ . Note that, then  $D_2 = (\frac{3}{2})^2 \in [\mathbb{F}_{p^n}]^2$ . Furthermore, for  $p \neq 3$ ,  $D_2 \neq 0$ , rendering at least three solutions of (6) for  $p \neq 3$ . If  $p = 3$ ,  $c = -2 = 1$ , which is excluded from this theorem, since it is covered by the classical results.

Note that, by Cases 1, 2 and 3, Equation (6) cannot have more than three solutions, so the  $c$ -differential uniformity is always at most 3.

The proof of the theorem is done.  $\square$

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